



533 RCT PCT/PTO 21 AUG 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Cornelis P. G. SCHRAUWEN et al.

Serial No.: 09/831,599

Filed: May 11, 2001

For: APPARATUS AND METHOD FOR
COATING OBJECTS THROUGH PVD

Atty. Docket No.: 00771.00019

U.S. National Stage of

International Application No.:

PCT/NL99/00689

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

BOX PCT

Assistant Commissioner of Patents
Washington, D. C. 20231

Sir:

In response to the Notification of Missing Requirements mailed June 21, 2001, Applicants submit herewith the executed Declaration/Power of Attorney to complete the filing of the instant application.

FEES

The Commissioner is authorized to charge the requisite late filing surcharge of \$130.00 to Deposit Account No. 19-0733. In the event of Applicants' miscalculation of fees due, the Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 19-0733.

08/23/2001 MKAYPAGH 00000079 190733 09831599

01 FC:154 130.00 CH

Date: August 21, 2001

Banner & Witcoff, Ltd.
1001 G Street, N.W.
Washington, D. C. 20001-4597
(202) 508-9100

FDW:lab

Respectfully submitted,

Franklin D. Wolffe Reg No 33,568
Franklin D. Wolffe
Reg. No. 19,724



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/831599	CHRAUWEN	C 00771.00019
INTERNATIONAL APPLICATION NO.		
PCT/NL99/00689		
I.A. FILING DATE	PRIORITY DATE	
11 NOV 99	11 NOV 98	

BANNER & WITCOFF
1001 G STREET N.W.
ELEVENTH FLOOR
WASHINGTON, DC 20001 4597

DATE MAILED:

21 JUN 2001

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as ☐ a Designated Office (37 CFR 1.494) ☒ an Elected Office (37 CFR 1.495):
- ☒ U.S. Basic National Fee. ☐ Indication of Small Entity Status.
☒ Copy of the international application. ☒ Translation of the international application into English.
☐ Oath or Declaration of inventors(s). ☐ Translation of Article 19 amendments into English.
☐ Copy of Article 19 amendments. ☐ Other:
☒ Priority Document.
☒ The International Preliminary Examination Report in English and its Annexes, if any.
☒ Translation of Annexes to the International Preliminary Examination Report into English.
2. ☐ Applicant has requested early processing under 35 U.S.C. 371(f) but has not filed the following indicated items and/or the indicated items in paragraph 3 below. The Basic National Fee and the copy of the international application must be filed prior to 20 or 30 months from the priority date to avoid abandonment.
☐ U.S. Basic National Fee. ☐ Copy of the international application.
3. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
- ☐ a. Translation of the application into English. A processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), properly identifying the application (preferably by the International application number and international filing date). A surcharge will be required if submitted later than the appropriate 20 or 30 months from the priority date.
☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
4. Additional claim fees of \$_____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.
5. ☐ Applicant has not submitted the required sequence listing pursuant to 37 CFR 1.821-1.825. See attached PCT/DO/EO/920.

ALL OF THE ITEMS SET FORTH IN 3(a)-3(d), 4 AND 5 ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 22 OR 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

6. If box 3a or 3c is checked, a translation of the Annexes **MUST** be submitted no later than the time period set above or the Annexes will be cancelled. A processing fee will be required if submitted later than 20 or 30 months from the priority date.
7. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

A copy of this notice MUST be returned with this response.

Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation
☐ PTO-875 ☐ PCT/DO/EO/920
Paulette Kidwell, Paralegal
FORM PCT/DO/EO/905 (March 2001) Telephone: 703-305-3656

00771.00019
DOCKETED

JUN 22 2001

MISSING PARTS DUE 21AUG2001
LAST DAY 21JUL2002

RECEIVED

JUN 22 2001

BANNER & WITCOFF, LTD.